

(PROPOSED)-ORDER GRANTING PRELIMINARY APPROVAL

The Court, having considered the parties' Joint Stipulation of Class Action Settlement and Release ("Stipulation") and all other materials properly before the Court, and having conducted an inquiry pursuant to Section 216(b) of the Fair Labor Standards Act of 1938 and Rule 23 of the Federal Rules of Civil Procedure, hereby finds and orders as follows:

- 1. Unless otherwise defined herein, all terms used in this Order (the "Order Granting Preliminary Approval") will have the same meaning as defined in the Stipulation.
- 2. The Court has jurisdiction over the subject matter of this action, Plaintiff, Defendants J.P. Morgan Chase National Corporate Services, Inc., J.P. Morgan Chase Bank, NA, and J.P. Morgan Chase & Co. ("Settling Defendants"), the Class Members, and the FLSA Collective Members.
- 3. The Court finds on a preliminary basis that the settlement memorialized in the Stipulation, filed with the Court, falls within the range of reasonableness and, therefore, meets the requirements for preliminary approval such that notice to the Classes is appropriate.
- 4. The Court finds that the Settlement was the product of protracted, arms-length negotiations between experienced counsel well-versed in the prosecution of wage and hour class and collective actions.
- The Court grants preliminary approval of the parties' Stipulation.
 Certification of the Proposed Rule 23 Classes For Settlement Purposes Only
- 6. Provisional settlement, class certification, and appointment of class counsel have several practical purposes, including avoiding the costs of litigating class status while facilitating a global settlement, ensuring all class members are notified of the terms of the proposed Stipulation, and setting the date and time of the final approval hearing.

- 7. Pursuant to Rule 23(e) of the Federal Rules of Civil Procedure, the Court conditionally certifies, for settlement purposes only and for no other purpose and with no other effect upon this or any other action, including no effect upon this action should the Settlement not ultimately be approved the following Rule 23 classes:
 - all persons employed by Settling Defendants as a Facilities Manager as
 defined in the Stipulation at any time in California except that any
 individual who timely submitted or submits a valid request for
 exclusion shall not be included in the Classes.
 - all persons employed by Settling Defendants as a Facilities Manager as
 defined in the Stipulation at any time in Texas, Illinois, and New York
 during the Covered Period as defined in the Stipulation, except that
 any individual who timely submitted or submits a valid request for
 exclusion shall not be included in the Class. This Class includes
 subclasses for the Facilities Managers in each of the named states.
- 8. The Court appoints, for settlement purposes only, Plaintiff Steve Thoma as Class Representative.
- 9. For settlement purposes only, the Court appoints Baker Curtis & Schwartz, P.C. as Class Counsel because it meets all of the requirements under Federal Rule of Civil Procedure 23(g).
- 10. For settlement purposes only, Plaintiff meets all of the requirements for class certification under Federal Rule of Civil Procedure 23(a) and (b)(3).
- 11. The Court further finds, for settlement purposes only, that conditional certification of the Action as a collective action under section 216(b) of the Fair Labor Standards Act ("FLSA") is appropriate.

Notice

- 12. The Court approves Kurtzman Carson Consultants LLC ("KCC") as the Claims Administrator to perform duties in accordance with Section VII of the Stipulation.
- 13. The Court finds that the procedures for notifying the Class and FLSA Collective Members about the Settlement as described in the Stipulation provide the best notice practicable under the circumstances and therefore meet the requirements of due process, and directs the mailing of the Notices in accordance with the Stipulation.
- 14. The Court approves, as to form and content, the Class Notice, attached to the Stipulation as Exhibit B, and Claim Form, attached to the Stipulation as Exhibit A. The Claims Administrator is authorized to mail these documents, after they are updated with the appropriate dates and deadlines consistent with the Stipulation, to the applicable Class Members and FLSA Collective Members as provided in the Stipulation.
- 15. The Class Notice adequately puts Class Members and FLSA Collective Members on notice of the proposed settlement. The Class Notice is appropriate because it describes the terms of the settlement, informs the class about the allocation of attorneys' fees, and provides specific information regarding the date, time, and place of the final approval hearing.
- 16. Any Request for Exclusion and/or Claim Form must be sent to the Claims Administrator no later than sixty (60) days after the Class Notice is mailed to the Class Member and/or FLSA Collective Member. The Claims Administrator will provide any such Request for Exclusions to Class Counsel for filing prior to the hearing on the Motion for Judgment and Final Approval.
- 17. Any written objection by a Qualified Claimant must be filed with the Court and served upon all counsel of record no later than five (5) days after the filing of the motion for final approval of the Settlement Agreement, and such deadline applies notwithstanding any argument regarding non-receipt of the notice.

Anyone who fails to file and serve timely written objections in this manner shall be deemed to have waived any objections and shall be foreclosed from making any objection to the Settlement and from filing any appeal from any final approval order issued by the Court. The Parties may file a response to any objections submitted by objecting Class Members at or prior to the hearing for final approval of the Settlement. Class Members shall be permitted to withdraw their objections in writing by submitting a withdrawal statement to the Settlement Administrator not later than one (1) business day prior to the Court's hearing on the Motion for Judgment and Final Approval.

Class Action Settlement Procedure

- 18. The Court hereby adopts the settlement approval process as set forth in the Stipulation.
- 19. In the event that the Effective Date as defined in the Stipulation does not occur, the Settlement, the Stipulation, and this Order shall be deemed null and void and shall have no effect whatsoever, other than the confidentiality and non-disclosure provisions in Section XIV and the non-admission provisions in Paragraph 57(a) of the Stipulation, which shall remain in effect. In such case, nothing in the Stipulation or this Order shall be relied upon, cited as, constitute evidence of, or constitute an admission that class or collective action certification is or may be appropriate in this action or any other matter.
- 20. Pending the Court's decision on final approval of the Settlement and entry of the Court's Final Order and Judgment, Plaintiff and all Class Members, except those who opt out of the Settlement Agreement, and anyone acting on behalf of any Class Member shall be barred and enjoined from: (a) further litigation in this Action against Settling Defendants; (b) filing, or taking any action directly or indirectly, to commence, prosecute, pursue or participate on a class or collective action basis any action, claim or proceeding against Defendants in any forum in which any of the claims subject to the Settlement are asserted, or which in

any way would prevent any such claims from being extinguished; or (c) seeking, whether on a conditional basis or not, certification of a class or collective action that involves any such claims.

- 21. The parties are ordered to carry out the Settlement according to the terms of the Stipulation.
- 22. The Court will conduct a Final Approval and Fairness Hearing on October 16, 2018 at 10:00 a.m. for the purposes of: (a) making a final determination of the fairness, adequacy, and reasonableness of the Settlement terms and procedures; (b) fixing the amount of attorneys' fees and litigation costs and expenses to Class Counsel and Service Enhancement award to the Plaintiff; (c) hearing any timely and properly filed objections; and (d) entering Judgment. The Final Approval and Fairness Hearing may be continued without further notice to Class Members and/or FLSA Collective Members. The parties shall file their joint motion for final approval of the settlement, and Class Counsel shall file their motion for attorneys' fees, litigation costs and expenses, and Service Enhancement award on or before September 18, 2018.

IT IS SO ORDERED.

Dated: April 17, 2018.

Honorable Consuelo B. Marshall Judge, United States District Court